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## INFORMATION DISCLOSURE CITATION

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09/766,277Applicant:  
Robert K. SamsonFiling Date:  
January 19, 2001Group Art Unit:  
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Technology Center 2100

## U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Issue Date	Name	Class	Sub-Class	Filing Date
	5,053,758	10/01/91	Cornett et al.	340	712	02/01/90
	5,148,365	09/15/92	Dembo	364	402	08/15/89
	5,220,500	06/15/93	Baird et al.	364	408	09/19/89
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	5,794,178	08/11/98	Caid et al.	704	9	04/12/96
	5,799,298	08/25/98	Bingham et al.	707	1	05/27/97
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	6,021,397	02/01/00	Jones et al.	705	36	12/02/97
	6,029,195	02/22/00	Herz et al.	709	219	12/05/97

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Patent Number	Issue Date	Country	Class	Sub-Class	Translation
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
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						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No

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	Fabozzi, Frank J. and Fabozzi, T. Dessa. "The Handbook of Fixed Income Securities", Fourth Addition, 1995, pp. 90-96
	"Financial Engines" ( <a href="http://www.financialengines.com">http://www.financialengines.com</a> ), download date; March 28, 2001.
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	Lewis, Alan L. et al. "The Ibbotson-Sinquefeld Simulation Made Easy." Journal of Business, Vol. 53 (1980), pp. 205-214
	Rominger, Terry. "Investment Basics, Part I: Duration." CNBS Market Cast, May 1999, pp. 1-2
	Rominger, Terry. "Investment Basics, Part II: Modified Duration." CNBS Market Cast, June 1999, pp. 3

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.

Draw line through citation if not in conformance and not considered.

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